

07/06/01



J1130 U.S. PTO

07-09-01

Attorney Docket No.: 099782.00001

JC997 U.S. PTO
09/900724
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THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
Sir:

Transmitted herewith for filing is the patent application of Inventor(s) James G. Squyres

Title: **METHOD AND SYSTEM FOR COMPARISON AND EVALUATION OF INVESTMENT PORTFOLIOS**

Enclosed are:

- ☒ 34 sheets of specification and 3 sheet(s) of drawing(s).
- ☒ An Assignment of the invention to BUYSIDE RESEARCH LLC and an Assignment Recordation cover sheet.
- ☐ A certified copy of a priority application.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.
- ☒ An executed declaration/power of attorney.
- ☒ An Information Disclosure Statement and form PTO-1449.
- ☐ Other

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 710.00
TOTAL CLAIMS	33 - 20 =	13	x 18	\$ 234.00
INDEP CLAIMS	3 - 3 =	0	x 80	\$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED			x 270	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$ 944.00

☐ Please charge Deposit Account No. **50-0540** in the amount of \$_____ to cover the filing fee. The Commissioner is also hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

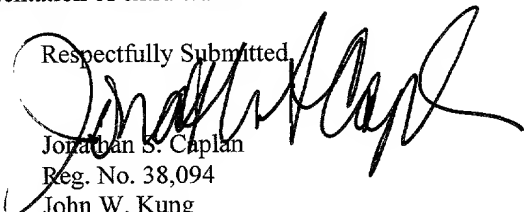
☒ A check in the amount of \$944.00 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☐ The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Date: July 6 2001

Respectfully Submitted,


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